

## Bacterial Filtration Efficiency (BFE) and Differential Pressure (Delta P) Final Report

Test Article: Surgical Face Mask  
Purchase Order: SHLAB2003160001A  
Study Number: 1277685-S01  
Study Received Date: 16 Mar 2020  
Testing Facility: Nelson Laboratories, LLC  
6280 S. Redwood Rd.  
Salt Lake City, UT 84123 U.S.A.  
Test Procedure(s): Standard Test Protocol (STP) Number: STP0004 Rev 18  
Deviation(s): None

**Summary:** The BFE test is performed to determine the filtration efficiency of test articles by comparing the bacterial control counts upstream of the test article to the bacterial counts downstream. A suspension of *Staphylococcus aureus* was aerosolized using a nebulizer and delivered to the test article at a constant flow rate and fixed air pressure. The challenge delivery was maintained at  $1.7 - 3.0 \times 10^3$  colony forming units (CFU) with a mean particle size (MPS) of  $3.0 \pm 0.3 \mu\text{m}$ . The aerosols were drawn through a six-stage, viable particle, Andersen sampler for collection. This test method complies with ASTM F2101-19 and EN 14683:2019, Annex B.

The Delta P test is performed to determine the breathability of test articles by measuring the differential air pressure on either side of the test article using a manometer, at a constant flow rate. The Delta P test complies with EN 14683:2019, Annex C and ASTM F2100-19.

All test method acceptance criteria were met. Testing was performed in compliance with US FDA good manufacturing practice (GMP) regulations 21 CFR Parts 210, 211 and 820.

Test Side: Inside  
BFE Test Area:  $\sim 40 \text{ cm}^2$   
BFE Flow Rate: 28.3 Liters per minute (L/min)  
Delta P Flow Rate: 8 Liters per minute (L/min)  
Conditioning Parameters:  $85 \pm 5\%$  relative humidity (RH) and  $21 \pm 5^\circ\text{C}$  for a minimum of 4 hours  
Test Article Dimensions:  $\sim 175 \text{ mm} \times \sim 150 \text{ mm}$   
Positive Control Average:  $2.0 \times 10^3 \text{ CFU}$   
Negative Monitor Count:  $< 1 \text{ CFU}$   
MPS:  $3.2 \mu\text{m}$



Study Director

James W. Luskin

  
Study Completion Date

**Results:**

Test Article Number	Percent BFE (%)
1	99.8
2	>99.9
3	>99.9 <sup>a</sup>
4	99.9
5	>99.9

<sup>a</sup> There were no detected colonies on any of the Andersen sampler plates for this test article.

Test Article Number	Delta P (mm H <sub>2</sub> O/cm <sup>2</sup> )	Delta P (Pa/cm <sup>2</sup> )
1	3.8	37.5
2	4.0	39.5
3	4.1	40.0
4	4.2	40.9
5	4.1	40.2

The filtration efficiency percentages were calculated using the following equation:

$$\% BFE = \frac{C - T}{C} \times 100$$

C = Positive control average

T = Plate count total recovered downstream of the test article

Note: The plate count total is available upon request

## Synthetic Blood Penetration Resistance Final Report

Test Article: Surgical Face Mask  
Purchase Order: SHLAB2003160001A  
Study Number: 1277684-S01  
Study Received Date: 16 Mar 2020  
Testing Facility: Nelson Laboratories, LLC  
6280 S. Redwood Rd.  
Salt Lake City, UT 84123 U.S.A.  
Test Procedure(s): Standard Test Protocol (STP) Number: STP0012 Rev 09  
Deviation(s): None


**Summary:** This procedure was performed to evaluate surgical facemasks and other types of protective clothing materials designed to protect against fluid penetration. The purpose of this procedure is to simulate an arterial spray and evaluate the effectiveness of the test article in protecting the user from possible exposure to blood and other body fluids. The distance from the target area surface to the tip of the cannula is 30.5 cm. A test volume of 2 mL of synthetic blood was employed using the targeting plate method.

This test method was designed to comply with ASTM F1862 and ISO 22609 (as referenced in EN 14683:2019 and AS4381:2015) with the following exception: ISO 22609 requires testing to be performed in an environment with a temperature of  $21 \pm 5^{\circ}\text{C}$  and a relative humidity of  $85 \pm 10\%$ . Instead, testing was performed at ambient conditions within one minute of removal from the environmental chamber held at those parameters.

All test method acceptance criteria were met. Testing was performed in compliance with US FDA good manufacturing practice (GMP) regulations 21 CFR Parts 210, 211 and 820.

Number of Test Articles Tested: 32  
Number of Test Articles Passed: 29  
Test Side: Outside  
Pre-Conditioning: Minimum of 4 hours at  $21 \pm 5^{\circ}\text{C}$  and  $85 \pm 5\%$  relative humidity (RH)  
Test Conditions:  $22^{\circ}\text{C}$  and 22% RH

Study Director

  
James W. Luskin  
Study Completion Date

1277684-S01

**Results:** Per ASTM F1862 and ISO 22609, an acceptable quality limit of 4.0% is met for a normal single sampling plan when  $\geq 29$  of 32 test articles show passing results.

Test Pressure: 160 mmHg (21.3 kPa)

Test Article Number	Synthetic Blood Penetration
1-8, 10-13, 15-27, 29-32	None Seen
9, 14, 28	Yes

## Microbial Cleanliness (Bioburden) of Medical Masks Final Report

Test Article: Surgical Face Mask  
Purchase Order: SHLAB2003160001A  
Study Number: 1277683-S01  
Study Received Date: 16 Mar 2020  
Testing Facility: Nelson Laboratories, LLC  
6280 S. Redwood Rd.  
Salt Lake City, UT 84123 U.S.A.  
Test Procedure(s): Standard Test Protocol (STP) Number: STP0036 Rev 15  
Customer Specification Sheet (CSS) Number: 202001516 Rev 01  
Deviation(s): None

**Summary:** The testing was conducted in accordance with EN 14683:2019, with the exception of approximate volumes of eluent used when performing the extraction procedure and a temperature range of 30-35°C used for aerobic incubation.

When bioburden results are calculated using a software program, manual calculations may differ slightly due to rounding. The counts determined on products are colony forming units and may not always reflect individual microorganisms. The sponsor performs any statistical analysis and determines the acceptable limits. Testing was performed in compliance with US FDA good manufacturing practice (GMP) regulations 21 CFR Parts 210, 211 and 820.

### Results:

Unit Number	Weight (g)	Aerobic	Fungal	Total Bioburden (CFU/mask)	Total Bioburden (CFU/g)
1	3.2	45	<3	48.2	15.1
2	3.0	54	6	60.0	20.0
3	2.9	17	<3	19.6	6.8
4	2.9	32	<3	35.2	12.1
5	2.7	74	3	76.8	28.4
Recovery Efficiency	37.6%				

< = No Organisms Detected

Note: The results are reported as colony forming units (CFU) per mask.



Robert Putnam electronically approved  
Study Director

Robert Putnam

01 Apr 2020 20:19 (+00:00)  
Study Completion Date and Time

Method Suitability:

Organism	Percentage
<i>Bacillus atrophaeus</i>	79%

**Test Method Acceptance Criteria:** If applicable, anaerobic controls are acceptable for the bioburden test results. The number of masks to be tested shall be a minimum of 5 or more to meet an acceptable quality level of 4%. The bioburden of the medical mask shall be < 30 CFU/g tested.

**Procedure:**

Positive Controls/Monitors: *Bacillus atrophaeus*  
 Extract Fluid: Peptone Tween<sup>®</sup>  
 Extract Fluid Volume: 150 mL  
 Extract Method: Orbital Shaking for 15 minutes at 250 rpm  
 Plating Method: Membrane Filtration  
 Agar Medium: Tryptic Soy Agar  
                                 Potato Dextrose Agar  
 Recovery Efficiency: Exhaustive Rinse Method  
     Aerobic Bacteria: Plates were incubated 3 - 7 days at 30-35°C, then enumerated.  
     Fungal: Plates were incubated 5 - 7 days at 20-25°C, then enumerated.



12 May 2020

Dear Yang,

This letter is to summarize the Surgical Face Mask results gathered from Microbial Cleanliness (Bioburden) testing performed under Nelson Laboratories (NL) study #1277683-S01, Splash Resistance testing performed under study #1277684-S01, and Bacterial Filtration Efficiency (BFE) and Differential Pressure (Delta P) testing performed under study #1277685-S01

All testing was conducted in accordance with EN 14683:2019. The following performance requirements for medical face masks under this standard are as follows:

Test	Type I	Type II	Type IIR
BFE (%)	≥ 95	≥ 98	≥ 98
Delta P (Pa/cm <sup>2</sup> )	< 40	< 40	< 60
Splash resistance pressure (kPa)	Not required	Not required	≥ 16,0 (120 mmHg)
Microbial Cleanliness (CFU/g)	≤ 30	≤ 30	≤ 30

Note: This table is meant to aid the sponsor in interpreting results. Further interpretation of the data is the responsibility of the sponsor and no conclusion can be made by NLI.

  
Study Director

Janelle R. Bentz, M.S.

Date

15 May 2020

**Results:**

BFE and Delta P:

Study #1277685-S01:

Test Article Number	Percent BFE (%)
1	99.8
2	>99.9
3	>99.9 <sup>a</sup>
4	99.9
5	>99.9

<sup>a</sup> There were no detected colonies on any of the Andersen sampler plates for this test article.

Test Article Number	Delta P (mm H <sub>2</sub> O/cm <sup>2</sup> )	Delta P (Pa/cm <sup>2</sup> )
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2	4.0	39.5
3	4.1	40.0
4	4.2	40.9
5	4.1	40.2

Test Article Dimensions: ~175 mm x ~150 mm  
 Positive Control Average:  $2.0 \times 10^3$  CFU  
 Negative Monitor Count: <1 CFU  
 MPS: 3.2  $\mu$ m

Microbial Cleanliness (Bioburden): When bioburden results are calculated using a software program, manual calculations may differ slightly due to rounding.

Study #1277683-S01:

Unit Number	Weight (g)	Aerobic	Fungal	Total Bioburden (CFU/mask)	Total Bioburden (CFU/g)
1	3.2	45	<3	48.2	15.1
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4	2.9	32	<3	35.2	12.1
5	2.7	74	3	76.8	28.4

&lt; = No Organisms Detected

Note: The results are reported as colony forming units (CFU) per mask.



Splash Resistance:

Study #1277684-S01:

Test Pressure: 160 mmHg (21.3 kPa)

Test Article Number	Synthetic Blood Penetration
1-8, 10-13, 15-27, 29-32	None Seen
9, 14, 28	Yes

Please let me know if you have any questions. I can be reached at (801) 290-7569 or [jbentz@nelsonlabs.com](mailto:jbentz@nelsonlabs.com). Thank you for testing with Nelson Laboratories, LLC.